

AN APPROACH FOR SAFETY ASSESSMENT IN UAS OPERATIONS APPLYING STOCHASTIC FAST-TIME SIMULATION WITH PARAMETER VARIATION

Joao Luiz de Castro Fortes
Rafael Fraga
Kenny Martin

ISA Software LLC
11530 South Glen Road
Potomac, MD 20854, USA

ABSTRACT

This paper presents an approach for safety assessment in unmanned aerial system (UAS) operations that uses stochastic fast-time simulation and selected published ground impact fatality/casualty models to calculate fatality risk. The application of simulation allows a sensitivity analysis measuring how different aspects and phases of a UAS operation impact the risk calculations for each of the ground impact models. Specifically, this approach consists of modelling and simulating UAS operations over a defined populated region applying stochastic parameters, such as flight track dispersion, altitude, failure rate, performance variation, and latency due to situational awareness (e.g. BVLOS). Then, published ground impact models are applied to determine the risk in terms of fatalities. This process provides risk metrics in a range, where it is then left to the decision makers as to what constitutes acceptable risk in a given situation.

1 INTRODUCTION

The demand for unmanned aerial systems (UAS) with an almost unlimited range of missions has been continually growing in the last few years. Their use has been applied not only to private and recreational uses, but also to public, military and commercial users. According to a recent forecast on number of UAS vehicles published by DoT (2013), commercial users represent a large growth sector especially for mini and small UAS categories, reaching a total of 175,000 vehicles by 2035. Integrating them into the National Airspace System (NAS) and assessing the impacts of such sudden growth is a challenging and vital task.

In its omniscient origin, the International Civil Aviation Organization (ICAO) “predicted” the need for a proper regulatory framework for UAS over 72 years ago, when article 8 of 1944’s Convention on International Civil Aviation (commonly known as “Chicago Convention”) states that “no aircraft capable of being flown without a pilot shall be flown without a pilot over the territory of a contracting State without special authorization by that State and in accordance with the terms of such authorization” (ICAO, 2011). Today, ICAO (2011) defines UAS as “an aircraft and its associated elements which are operated with no pilot on board”.

Lately, a great deal of effort has been done worldwide, especially in United States and Europe, in order to develop standards and recommended practices for UAS operations which cover aspects such as safety, security and liability, in order to guarantee the development of this emerging aviation segment. One of the major concerns about its integration into the NAS is assessing UAS safety, according to Melnyk et al. (2014). Since UAS is a fairly recent segment of aviation, the available data related to operations such as flight hours, number of accidents and incidents, failure rates, etc. is insufficient in order to build up reliable statistics about its level of safety as compared to airline flights and general aviation. Also, there no agreement on the most suitable methodologies to fully understand the risks and impacts of UAS operations.

ACKNOWLEDGMENTS

The authors would like to express their thanks for support and direction from Dr. Sherry Borener, who leads the FAA's System Safety Management Transformation (SSMT) program. The methodology described in this paper was developed under tasks to SSMT.

REFERENCES

- California State Data Center. 2010. "Census 2010 Demographic Profile Summary File". California Department of Finance.
- Clothier, R. A. and Walker, R. A. 2006. "Determination and Evaluation of UAV Safety Objectives." In *Proceedings of the 21st International Unmanned Air Vehicle Systems Conference*, 18.1-18.16, Bristol, United Kingdom.
- Dalamagkidis, K. 2015. "Classification of UAVs." In *Handbook of Unmanned Aerial Vehicles* edited by K. P. Valavanis and G. J. Vachtsevanos, 83-91. Netherlands: Springer Science.
- Dalamagkidis, K., Valavanis, K. P., Piegler, L. A. 2008. "Evaluating the Risk of Unmanned Aircraft Ground Impacts." In *Proceedings of the 16th Mediterranean Conference on Control and Automation Congress Centre*, 709-716, Ajaccio, France.
- International Civil Aviation Organization (ICAO). 2011. "Circular 328 AN/190: Unmanned Aerial Systems(UAS)", Montreal, Canada.
- Federal Aviation Administration – FAA. 2012. "Order 8040.4A Safety System Management Policy", United States.
- Fortes, J. L. C.; Correia, A. R. ; Müller, C. .2013. "Impacts of Different Operational Conditions in Risk Assessment for an Airport." In *Proceedings of the Air Transport Research Society ATRS World Conference*, Bergamo, Italy.
- JPDO Joint Planning and Development Office. "UAS Operational Scenarios", 2012.
- King, D. W., Bertapelle, A., Moses, C. 2005. *UAV Failure Rate Criteria For Equivalent Level of Safety*. In *Proceedings of International Helicopter Safety Symposium*, 1-9, Montréal, Canada.
- Melnyk, R., Schrage, D., Volovoi, V., Jimenez, H., 2014. *A Third-party Casualty Risk Model for Unmanned Aircraft System Operations*. Reliability Engineering and System Safety. V. 124, 105-116.
- U.S Department of Transportation (DoT). 2013. "Unmanned Aircraft System (UAS) Service Demand 2015 – 2035." Technical Report V. 1.0. United States.
- Weibel, R. E., Hansman, R. J. 2004. "Safety Considerations for Operation of Different Classes of UAVs in the NAS." In *Proceedings of the AIAA's 4th Aviation Technology, Integration and Operations (ATIO) Forum*. Chicago, Illinois, 1-11.

AUTHOR BIOGRAPHIES

JOAO L C FORTES received his doctorate degree in Aeronautical Infrastructure Engineering from Instituto Tecnológico de Aeronáutica (ITA) in Brazil. His research interests include air traffic and airport infrastructure optimization, simulation and safety assessment. His email is jlfortes@gmail.com.

RAFAEL FRAGA is an ATM systems engineer for ISA Software, leading ATM performance benefits for programs and clients around the world., He holds a MSc in Aeronautical Infrastructure Engineering from Instituto Tecnológico de Aeronáutica (ITA) in Brazil. His email is rafael@isa-software.com.

KENNY MARTIN has over thirty years' experience in ATM modeling and simulation development and applications. He is a founding owner of ISA Software, where for twenty years he has led ISA Software's modeling and simulation commercial product and applications. His email is kenny@isa-software.com